## 1701 Business Oversight

FUND CONDITION STATEMENTS	2012-13*	2013-14*	2014-15*
0067 State Corporations Fund <sup>s</sup>			
BEGINNING BALANCE	\$60,146	\$57,929	\$32,860
Prior year adjustments	2,128	<u> </u>	_
Adjusted Beginning Balance	\$62,274	\$57,929	\$32,860
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
Revenues:			
125700 Other Regulatory Licenses and Permits	29,848	27,907	36,173
125800 Renewal Fees	7,611	6,518	6,518
125900 Delinquent Fees	1	-	-
141200 Sales of Documents	4	2	2
142500 Miscellaneous Services to the Public	6	1	1
150300 Income From Surplus Money Investments	134	200	200
161000 Escheat of Unclaimed Checks & Warrants	7	1	1
163000 Settlements/Judgments(not Anti-trust)	1,365	588	588
164300 Penalty Assessments	61	108	108
Transfers and Other Adjustments:			
TO0001 To General Fund per Item 1701-011-0067, Budget Act of 2013	<u> </u>	-15,000	
Total Revenues, Transfers, and Other Adjustments	\$39,037	\$20,325	\$43,591
Total Resources	\$101,311	\$78,254	\$76,451
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0515 Secretary for Business, Consumer Services, and Housing Agency (State Operations)	-	195	265
0840 State Controller (State Operations)	26	-	-
1701 Business Oversight (State Operations)	-	44,992	48,257
2180 Department of Corporations (State Operations)	43,133	-	-
8880 Financial Information System for California (State Operations)	223	207	37
Total Expenditures and Expenditure Adjustments	\$43,382	\$45,394	\$48,559
FUND BALANCE	\$57,929	\$32,860	\$27,892
Reserve for economic uncertainties	57,929	32,860	27,892
0240 Local Agency Deposit Security Fund <sup>s</sup>			
BEGINNING BALANCE	\$438	\$347	\$221
Prior year adjustments	-3	-	
Adjusted Beginning Balance	\$435	\$347	\$221
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS	<b>V</b> 100	***	<b>+</b>
Revenues:			
121200 Other Regulatory Taxes	273	273	273
150300 Income From Surplus Money Investments	2	2	2
164300 Penalty Assessments	15	15	15
Total Revenues, Transfers, and Other Adjustments	\$290	\$290	\$290
Total Resources	\$725	\$637	\$511
EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
Expenditures:			
0515 Secretary for Business, Consumer Services, and Housing Agency (State Operations)	-	2	1
0840 State Controller (State Operations)	1	-	=
1701 Business Oversight (State Operations)	-	412	415
2150 Department of Financial Institutions (State Operations)	375	-	=
8880 Financial Information System for California (State Operations)	2	2	-

<sup>\*</sup> Dollars in thousands, except in Salary Range.

## 1701 Business Oversight

Total Expenditures and Expenditure Adjustments	_	2012-13*	2013-14*	2014-15*
Reserve for economic uncertainties   328   Financial Institutions Fund **   Prior year adjustments   \$11,547   \$10,559   \$9,708     Prior year adjustments   \$11,547   \$10,559   \$9,708     Adjusted Beginning Balance   \$11,577   \$10,559   \$9,708     REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS   \$12,500     REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS   \$22,656   \$22,657   \$22,657     122700 Other Regulatory Taxes   \$22,656   \$22,657   \$74   \$74     161400 Miscellaneous Revenue   \$1,941   \$2,778   \$2,789   \$2,780     161400 Miscellaneous Revenue   \$1,941   \$2,789   \$2,780     161400 Miscellaneous Revenue   \$1,941   \$2,789   \$2,864     163000 Settlements/Judgments/(not Anti-trust)   \$9,90   \$2,564   \$2,564     163000 Settlements/Judgments/(not Anti-trust)   \$9,90   \$2,564     16310 Revenues, Transfers, and Other Adjustments   \$24,862   \$25,645   \$25,645     16310 Revenues, Transfers, and Other Adjustments   \$24,862   \$25,645   \$25,645     16310 Revenues, Transfers, and Other Adjustments   \$24,862   \$25,645   \$25,645     16310 Revenues, Transfers, and Other Adjustments   \$24,862   \$26,640   \$26,640     16310 Revenues, Transfers and Other Adjustments   \$25,730   \$2,62,640   \$26,640     16310 Revenues, Transfers and Expertaions   \$25,730   \$2,62,640   \$26,657     1701 Business Oversight (State Operations)   \$25,730   \$2,62,640   \$26,657     1701 Business Oversight (State Operations)   \$25,730   \$2,62,640   \$26,657     1701 Business Oversight (State Operations)   \$25,730   \$3,730   \$3,730     1701 Business Oversight (State Operations)   \$3,730   \$3,730   \$3,730     1701 Business Oversight (State Operations)   \$3,730   \$3,730   \$3,730     1701 Business Oversight (State Operations)   \$3,730   \$3,730   \$3,730     1701 Business O	Total Expenditures and Expenditure Adjustments	\$378	\$416	\$416
BEGINNING BALANCE         \$11,547         \$10,559         \$3,076           Prior year adjustments         \$10         30         30         \$10,559         \$3,076           Adjusted Beginning Balance         \$11,577         \$10,559         \$9,076           REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS         STATE AND STEERS         \$11,507         \$2,656         \$22,657         \$22,657           125200 Other Regulatory Licenses and Permits         \$18         \$12         \$2,657         \$2,667           125200 Other Regulatory Licenses and Permits         \$18         \$12         \$2,668         \$22,657         \$2,668           125000 Incente Form Surplus Money Investments         \$19         \$1         \$2,769         \$2,669           150300 Incente Form Surplus Money Investments         \$36,493         \$36,493         \$25,649         \$26,609           1613000 Settlements/Ludgments(rod Arti-trust)         \$9         \$2,686         \$25,649         \$26,609           10540 Revenues, Transfers, and Other Adjustments         \$24,609         \$36,439         \$36,493         \$36,493           EXPENDITURES DUSTERE ADJUSTMENTS         \$2,525,849         \$26,524         \$25,649         \$26,240           10540 State Controller (Slate Operations)         \$2         \$2         \$2	FUND BALANCE	\$347	\$221	\$95
BEGINNING BALANCE         \$11,547         \$10,509         \$3,000           Prior year adjustments         310         \$10,500         \$10,500           Adjusted Beginning Balance         \$11,577         \$10,500         \$20,800           REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS         \$22,655         \$22,657         \$22,655         \$22,657         \$22,655         \$22,657         \$22,655         \$22,657         \$22,657         \$22,650         \$22,657         \$22,657         \$22,657         \$22,657         \$22,650         \$22,657         \$22,657         \$22,657         \$22,657         \$22,657         \$22,657         \$22,657         \$22,657         \$22,657         \$22,657         \$22,657         \$22,650	Reserve for economic uncertainties	347	221	95
Prior year adjustments	0298 Financial Institutions Fund <sup>s</sup>			
Adjusted Beginning Balance   \$11,577   \$10,559   \$9,708   REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS   Revenues:	BEGINNING BALANCE	\$11,547	\$10,559	\$9,708
Revenues:	Prior year adjustments	30	<u> </u>	<u>-</u>
Revenues	Adjusted Beginning Balance	\$11,577	\$10,559	\$9,708
121200 Other Regulatory Taxes         22,656         22,657         125700 Other Regulatory Licenses and Permits         118         125         125           155030 Income From Surplus Money Investments         57         74         74           161400 Miscellaneous Revenue         1,941         2,769         2,769           16300 Settlements/Judgments(not Anti-trust)         90             Total Revenues, Transfers, and Other Adjustments         \$24,862         \$25,645         \$25,645           Total Revenues, Transfers, and Other Adjustments         \$24,862         \$25,645         \$25,645           Total Revenues, Transfers, and Other Adjustments         \$24,862         \$25,645         \$25,635           EXPENDITURES AND EXPENDITURE ADJUSTMENTS         \$25,000         \$6         131           0840 State Controller (State Operations)         21         96         131           0840 State Controller (State Operations)         25,730         26,260         26,420           2150 Department of Financial Institutions (State Operations)         129         120         221           1010 Business Oversight (State Operations)         129         120         22           2150 Department of Financial Institutions (State Operations)         1219         20         25           <	REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS			
125700 Other Regulatory Licenses and Permits         118         125         125           150300 Income From Surplus Money Investments         57         74         74           161400 Miscellaneous Revenue         1,941         2,789         2,789           163000 Settlements/Judgments (not Anti-trust)         90         25,645         25,645           Total Revenues, Transfers, and Other Adjustments         \$36,309         \$36,009         \$35,655           Stephnitures         Sependitures         \$36,009         \$36,000         \$35,655           EXPENDITURES AND EXPENDITURE ADJUSTMENTS         \$10         \$2         \$2,62         \$2,645           155 Secretary for Business, Consumer Services, and Housing Agency (State Operations)         21         \$2         \$2           164 Business Oversight (State Operations)         25         \$3         \$2         \$2           170 Business Oversight (State Operations)         25         \$3         \$2         \$2           180 Financial Information System for California (State Operations)         129         120         \$2           2150 Department of Financial Institutions (State Operations)         129         120         \$2           2150 Department of Financial Institutions (State Operations)         129         120         \$2				
150300 Income From Surplus Money Investments         57         74         76           161400 Miscellaneous Revenue         1,941         2,789         2,789           163000 Settlements/Judgments(not Anti-trust)         93         32,666         \$25,665           Total Revenues, Transfers, and Other Adjustments         \$34,869         \$35,635           EXPENDITURES AND EXPENDITURE ADJUSTMENTS         STAGE         \$25,660         131           EXPENDITURES AND EXPENDITURE ADJUSTMENTS         21         96         131           EXPENDITURES (State Operations)         21         96         131           0840 State Controller (State Operations)         21         96         131           1701 Business Oversight (State Operations)         25         26,289         26,249           2150 Department of Financial Institutions (State Operations)         25,730         12         2           2150 Department of Financial Institutions (State Operations)         212         12         2           2150 Department of Financial Institutions (State Operations)         213         12         2           2150 Department of Financial Institutions (State Operations)         215         25         25,65           250 Department of Financial Institutions (State Operations)         31,50         3         3,76		•	•	·
161400 Miscellaneous Revenue         1,941         2,789         2,789           163000 Settlements/Judgments(not Anti-trust)         90         -2.6         52,665         52,665           Total Revenues, Transfers, and Other Adjustments         \$23,605         \$3,605         \$3,635           EXPENDITURES AND EXPENDITURE ADJUSTMENTS         Total Resources         \$3,600         \$3,600         \$3,600           EXPENDITURES AND EXPENDITURE ADJUSTMENTS         \$61,500				
163000 Settlements/Judgments(not Anti-trust)         90         cccccccccccccccccccccccccccccccccccc				
Total Revenues, Transfers, and Other Adjustments         \$24,862         \$25,648         \$36,735           Total Resources         \$36,439         \$36,243         \$35,635           EXPENDITURES AND EXPENDITURE ADJUSTMENTS         SEXPENDITURES AND EXPENDITURE ADJUSTMENTS         SEXPENDITURES         SEXPENDITURES           Expenditures:         50515 Secretary for Business, Consumer Services, and Housing Agency (State Operations)         2         96         131           1,040 State Controller (State Operations)         2         26,280         26,420         26,202           1,701 Business Oversight (State Operations)         25,730         -         -         2           2,150 Operatment of Financial Institutions (State Operations)         25,730         -         -         26,872           2,150 Operatment of Financial Institutions (State Operations)         25,730         2         2,672         -           2,150 Operation and Expenditures Adjustments         25,838         25,698         25,678         2,675           FUND BALANCE         8,000         8,000         8,781         8,781         8,781         8,781           Reserve for economic uncertainties         1,190         1,190         1,190         8,191         8,191         1,190         1,190         8,191         1,190         1,19		1,941	2,789	2,789
Total Resources   \$36,499   \$36,204   \$35,353     EXPENDITURES AND EXPENDITURE ADJUSTMENTS     Expenditures:	- · · · · · · · · · · · · · · · · · · ·			<del>-</del>
EXPENDITURES AND EXPENDITURE ADJUSTMENTS           Expenditures:         0515 Secretary for Business, Consumer Services, and Housing Agency (State Operations)         -         96         131           0840 State Controller (State Operations)         21         -         -           1701 Business Oversight (State Operations)         25,730         -         -           2880 Financial Information System for California (State Operations)         129         120         21           8880 Financial Information System for California (State Operations)         129         120         21           70tal Expenditures and Expenditure Adjustments         \$25,880         \$26,496         \$26,572           FUND BALANCE         \$10,559         \$9,708         8,781           Reserve for economic uncertainties         \$10,559         \$9,708         8,781           BEGINNING BALANCE         \$1,720         \$1,683         \$2,915           Prior year adjustments         \$1,720         \$1,683         \$2,915           Revenues:         \$1,720         \$1,683         \$2,915           REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS         \$1         \$1         \$1         \$1         \$1         \$1         \$1         \$1         \$1         \$1         \$1         \$1         \$1			\$25,645	
Expenditures: 0515 Secretary for Business, Consumer Services, and Housing Agency (State Operations)   0.00   0.0	Total Resources	\$36,439	\$36,204	\$35,353
0515 Secretary for Business, Consumer Services, and Housing Agency (State Operations)         -         686         131           0840 State Controller (State Operations)         21         -         -           1701 Business Oversight (State Operations)         25,730         -         -           2150 Department of Financial Institutions (State Operations)         129         120         -           8880 Financial Information System for California (State Operations)         129         120         -           8880 Financial Information System for California (State Operations)         129         120         -           Total Expenditures and Expenditure Adjustments         \$10,559         \$9,708         \$8,781           Revenues and Expenditure Adjustments         10,559         \$9,708         \$8,781           Revenues Connomic uncertainties         \$1,059         \$1,683         \$2,915           Prior year adjustments         \$1,720         \$1,683         \$2,915           Prior year adjustments         \$1,720         \$1,683         \$2,915           REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS         \$1,693         \$1,683         \$2,915           Revenues:         121200 Other Regulatory Licenses and Permits         18         17         17           125700 Other Regulatory Surplus Money Investments				
0840 State Controller (State Operations)         21         -         26,280         26,240           1701 Business Oversight (State Operations)         25,730         -	·		00	424
1701 Business Oversight (State Operations)         2 5,230         26,280         26,280           2150 Department of Financial Institutions (State Operations)         25,730         12         2           8880 Financial Information System for California (State Operations)         129         120         221           Total Expenditures and Expenditure Adjustments         \$25,880         \$26,960         \$26,572           FUND BALANCE         \$10,559         \$9,708         \$8,781           Reserve for economic uncertainties         10,559         \$9,708         \$8,781           Reserve for economic uncertainties         \$1,700         \$1,683         \$2,915           Prior year adjustments         \$1,720         \$1,683         \$2,915           Prior year adjustments         \$1,00         \$1,683         \$2,915           RESEGINNING BALANCE         \$1,683         \$2,915           Prior year adjustments         \$1,00         \$1,683         \$2,915           RESEGINNING BALANCE         \$1,683         \$2,915           Prior year adjustments         \$1,693         \$1,683         \$2,915           RESEGINNING BALANCE         \$1,683         \$2,915         \$1,683         \$2,915		- 04	96	131
2150 Department of Financial Institutions (State Operations)         25,730         -         -           8880 Financial Information System for California (State Operations)         129         120         21           Total Expenditures and Expenditure Adjustments         \$25,880         \$26,496         \$26,572           FUND BALANCE         \$10,559         \$9,708         \$8,781           Reserve for economic uncertainties         10,559         \$9,708         \$8,781           Colspan="2">Credit Union Fund **           EBGINNING BALANCE         \$1,720         \$1,683         \$2,915           Prior year adjustments         10         0		21	-	-
8880 Financial Information System for California (State Operations)         129         120         2 26,496         26,575           Total Expenditures and Expenditure Adjustments         \$25,880         \$26,496         \$26,572           FUND BALANCE         \$10,559         \$9,708         \$8,781           Reserve for economic uncertainties         10,559         9,708         \$8,781           Compared Williams           BEGINNING BALANCE         \$1,702         \$1,683         \$2,915           Prior year adjustments         10         0         0         2           Adjusted Beginning Balance         \$1,702         \$1,683         \$2,915           REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS           REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS           REVENUES, TRANSFERS, AND Expenditures         7,193         7,487         7,487           125700 Other Regulatory Licenses and Permits         18         17         17           125000 Cher Regulatory Surplus Money Investments         18         17         17           157000 Trom General Fund loan repayment per Item 2150-011-0299, Budget Act of 20         2         8,876         \$8,876           1501al Revenues, Transfers, and Other Adjustments         \$7,222         \$8,876 </td <td></td> <td>-</td> <td>26,280</td> <td>26,420</td>		-	26,280	26,420
Total Expenditures and Expenditure Adjustments         \$25,880         \$26,496         \$26,572           FUND BALANCE         \$10,559         \$9,708         \$8,781           Reserve for economic uncertainties         10,559         9,708         8,781           CPURD IN INGER ADJUSTMENTS           BEGINNING BALANCE         \$1,720         \$1,683         \$2,915           Prior year adjustments         10         -         -         -           Adjusted Beginning Balance         \$1,700         \$1,683         \$2,915           REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS         REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS         ***         ***         ***         7,487         1,150         1,1	·		-	-
PUND BALANCE   \$10,559   \$9,708   \$8,781   Reserve for economic uncertainties   \$10,559   \$9,708   \$8,781   \$10,559   \$9,708   \$8,781   \$10,559   \$9,708   \$8,781   \$10,559   \$9,708   \$8,781   \$10,559   \$9,708   \$8,781   \$10,559   \$1,683   \$2,915   \$1,692   \$1,683   \$2,915   \$1,920   \$1,683   \$2,915   \$1,920   \$1,683   \$2,915   \$1,920   \$1,683   \$2,915   \$1,920   \$1,683   \$2,915   \$1,920   \$1,683   \$2,915   \$1,920   \$1,683   \$2,915   \$1,683   \$1,683   \$2,915   \$1,683   \$1,683   \$2,915   \$1,683   \$1,68	<del>-</del>			_
Reserve for economic uncertainties         9,708         8,781           0299 Credit Union Fund s           BEGINNING BALANCE         \$1,720         \$1,683         \$2,915           Prior year adjustments         10         -         -         -           Adjusted Beginning Balance         \$1,730         \$1,683         \$2,915           REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS         Revenues:           Revenues:         7,193         7,487         7,487           125700 Other Regulatory Licenses and Permits         18         17         17           150300 Income From Surplus Money Investments         18         22         22           Transfers and Other Adjustments:         -         1,350         1,350           TOal Revenues, Transfers, and Other Adjustments         \$7,229         \$8,876         \$8,876           Total Revenues, Transfers, and Other Adjustments         \$7,229         \$8,876         \$8,876           EXPENDITURES AND EXPENDITURE ADJUSTMENTS         Expenditures:         -         30         40           0840 State Controller (State Operations)         6         -         -           0515 Secretary for Business, Consumer Services, and Housing Agency (State Operations)         6         -				
D299 Credit Union Fund *           BEGINNING BALANCE         \$1,720         \$1,683         \$2,915           Prior year adjustments         10         -         -           Adjusted Beginning Balance         \$1,730         \$1,683         \$2,915           REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS         ***         ***         ***           Revenues:         7,193         7,487         7,487           125700 Other Regulatory Licenses and Permits         18         17         17           150300 Income From Surplus Money Investments         18         22         22           Transfers and Other Adjustments:         ***         1,350         1,350           Total Revenues, Transfers, and Other Adjustments         ***         \$**         \$**           Total Revenues, Transfers, and Other Adjustments         ***         \$**         \$**           Total Revenues, Transfers, and Other Adjustments         ***         \$**         \$**           EXPENDITURES AND EXPENDITURE ADJUSTMENTS         ***         ***         ***           Expenditures:         ***         ***         ***         ***           0515 Secretary for Business, Consumer Services, and Housing Agency (State Operations)         ***         ***         ***				
BEGINNING BALANCE         \$1,720         \$1,683         \$2,915           Prior year adjustments         10             Adjusted Beginning Balance         \$1,730         \$1,683         \$2,915           REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS         Revenues:             121200 Other Regulatory Taxes         7,193         7,487         7,487           125700 Other Regulatory Licenses and Permits         18         17         17           150300 Income From Surplus Money Investments         18         17         17           150300 Income From Surplus Money Investments         18         2         2           Transfers and Other Adjustments:         -         1,350         1,350           Total Revenues, Transfers, and Other Adjustments         \$7,229         \$8,876         \$8,876           Total Revenues, Transfers, and Other AdjustmentS         \$7,229         \$8,05         \$11,791           EXPENDITURES AND EXPENDITURE ADJUSTMENTS         Expenditures:         -         30         40           0840 State Controller (State Operations)         6         -         -           0515 Secretary for Business, Consumer Services, and Housing Agency (State Operations)         -         30         40           0840 State	Reserve for economic uncertainties	10,559	9,708	8,781
Prior year adjustments         10         -         -           Adjusted Beginning Balance         \$1,730         \$1,683         \$2,915           REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS         Revenues:           121200 Other Regulatory Taxes         7,193         7,487         7,487           125700 Other Regulatory Licenses and Permits         18         17         17           150300 Income From Surplus Money Investments         18         22         22           Transfers and Other Adjustments:         FO0001 From General Fund loan repayment per Item 2150-011-0299, Budget Act of 2002         -         1,350         1,350           Total Revenues, Transfers, and Other Adjustments         \$7,229         \$8,876         \$8,876           Total Resources         \$8,959         \$10,559         \$11,791           EXPENDITURES AND EXPENDITURE ADJUSTMENTS         Expenditures:           0515 Secretary for Business, Consumer Services, and Housing Agency (State Operations)         -         30         40           0840 State Controller (State Operations)         6         -         -           1701 Business Oversight (State Operations)         7,580         7,604           2150 Department of Financial Institutions (State Operations)         37         34         6           888		<b>#4.700</b>	<b>#4.000</b>	<b>#0.045</b>
Adjusted Beginning Balance         \$1,730         \$1,683         \$2,915           REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS         Revenues:           121200 Other Regulatory Taxes         7,193         7,487         7,487           125700 Other Regulatory Licenses and Permits         18         17         17           150300 Income From Surplus Money Investments         18         22         22           Transfers and Other Adjustments:         FO0001 From General Fund loan repayment per Item 2150-011-0299, Budget Act of 2002         -         1,350         1,350           Total Revenues, Transfers, and Other Adjustments         \$7,229         \$8,876         \$8,876           Total Resources         \$8,959         \$10,559         \$11,791           EXPENDITURES AND EXPENDITURE ADJUSTMENTS         Expenditures:         30         40           0840 State Controller (State Operations)         6         -         -           1701 Business Oversight (State Operations)         7,580         7,604           2150 Department of Financial Institutions (State Operations)         7,233         -         -           880 Financial Information System for California (State Operations)         37         34         6           Total Expenditures and Expenditure Adjustments         \$7,626         \$7,644 <td></td> <td></td> <td>\$1,683</td> <td>\$2,915</td>			\$1,683	\$2,915
REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS         Revenues:       7,193       7,487       7,487         125700 Other Regulatory Licenses and Permits       18       17       17         150300 Income From Surplus Money Investments       18       22       22         Transfers and Other Adjustments:       FO0001 From General Fund loan repayment per Item 2150-011-0299, Budget Act of 2002       -       1,350       1,350         Total Revenues, Transfers, and Other Adjustments       \$7,229       \$8,876       \$8,876         Total Resources       \$8,959       \$10,559       \$11,791         EXPENDITURES AND EXPENDITURE ADJUSTMENTS       Expenditures:       30       40         0515 Secretary for Business, Consumer Services, and Housing Agency (State Operations)       -       30       40         0840 State Controller (State Operations)       6       -       -       -         1701 Business Oversight (State Operations)       7,580       7,604         2150 Department of Financial Institutions (State Operations)       37       34       6         880 Financial Information System for California (State Operations)       37,644       \$7,656         Total Expenditures and Expenditure Adjustments       \$7,656       \$7,644       \$7,656			<u> </u>	-
Revenues:         7,193         7,487         7,487           125700 Other Regulatory Licenses and Permits         18         17         17           150300 Income From Surplus Money Investments         18         22         22           Transfers and Other Adjustments:         FO0001 From General Fund Ioan repayment per Item 2150-011-0299, Budget Act of 2002         -         1,350         1,350           Total Revenues, Transfers, and Other Adjustments         \$7,229         \$8,876         \$8,876           Total Resources         \$8,959         \$10,559         \$11,791           EXPENDITURES AND EXPENDITURE ADJUSTMENTS         Expenditures:         57,229         \$8,876         \$8,876           Expenditures:         0515 Secretary for Business, Consumer Services, and Housing Agency (State Operations)         -         30         40           0840 State Controller (State Operations)         6         -         -         7,580         7,604           2150 Department of Financial Institutions (State Operations)         7,233         -         -           8880 Financial Information System for California (State Operations)         37         34         6           Total Expenditures and Expenditure Adjustments         \$7,664         \$7,664         \$7,664         \$7,664		\$1,730	\$1,683	\$2,915
121200 Other Regulatory Taxes         7,487         7,487           125700 Other Regulatory Licenses and Permits         18         17         17           150300 Income From Surplus Money Investments         18         22         22           Transfers and Other Adjustments:           FO0001 From General Fund loan repayment per Item 2150-011-0299, Budget Act of 2002         -         1,350         1,350           Total Revenues, Transfers, and Other Adjustments         \$7,229         \$8,876         \$8,876           Total Resources         \$8,959         \$10,559         \$11,791           EXPENDITURES AND EXPENDITURE ADJUSTMENTS         Expenditures:         30         40           0840 State Controller (State Operations)         -         30         40           0840 State Controller (State Operations)         -         7,580         7,604           2150 Department of Financial Institutions (State Operations)         7,233         -         -           8880 Financial Information System for California (State Operations)         37         34         6           Total Expenditures and Expenditure Adjustments         \$7,644         \$7,654				
125700 Other Regulatory Licenses and Permits         18         17         17           150300 Income From Surplus Money Investments         18         22         22           Transfers and Other Adjustments:           FO0001 From General Fund loan repayment per Item 2150-011-0299, Budget Act of 2002         -         1,350         1,350           Total Revenues, Transfers, and Other Adjustments         \$7,229         \$8,876         \$8,876           Total Resources         \$8,959         \$10,559         \$11,791           EXPENDITURES AND EXPENDITURE ADJUSTMENTS           Expenditures:           0515 Secretary for Business, Consumer Services, and Housing Agency (State Operations)         -         30         40           0840 State Controller (State Operations)         6         -         -           1701 Business Oversight (State Operations)         7,580         7,604           2150 Department of Financial Institutions (State Operations)         7,233         -         -           8880 Financial Information System for California (State Operations)         37         34         6           Total Expenditures and Expenditure Adjustments         \$7,654         \$7,654         \$7,654		7 193	7 487	7 487
150300 Income From Surplus Money Investments       18       22       22         Transfers and Other Adjustments:       FO0001 From General Fund loan repayment per Item 2150-011-0299, Budget Act of 2002       -       1,350       1,350         Total Revenues, Transfers, and Other Adjustments       \$7,229       \$8,876       \$8,876         Total Resources       \$8,959       \$10,559       \$11,791         EXPENDITURES AND EXPENDITURE ADJUSTMENTS       Expenditures:         0515 Secretary for Business, Consumer Services, and Housing Agency (State Operations)       -       30       40         0840 State Controller (State Operations)       6       -       -         1701 Business Oversight (State Operations)       7,580       7,604         2150 Department of Financial Institutions (State Operations)       7,233       -       -         8880 Financial Information System for California (State Operations)       37       34       6         Total Expenditures and Expenditure Adjustments       \$7,276       \$7,644       \$7,650			•	
Transfers and Other Adjustments:           FO0001 From General Fund loan repayment per Item 2150-011-0299, Budget Act of 2002         -         1,350         1,350           Total Revenues, Transfers, and Other Adjustments         \$7,229         \$8,876         \$8,876           Total Resources         \$8,959         \$10,559         \$11,791           EXPENDITURES AND EXPENDITURE ADJUSTMENTS         Expenditures:         -         30         40           0515 Secretary for Business, Consumer Services, and Housing Agency (State Operations)         -         30         40           0840 State Controller (State Operations)         6         -         -         -           1701 Business Oversight (State Operations)         7,580         7,604         -         -           2150 Department of Financial Institutions (State Operations)         7,233         -         -         -           8880 Financial Information System for California (State Operations)         37         34         6           Total Expenditures and Expenditure Adjustments         \$7,650         \$7,650         \$7,650	• •			
FO0001 From General Fund loan repayment per Item 2150-011-0299, Budget Act of 2002 - 1,350 1,350  Total Revenues, Transfers, and Other Adjustments \$7,229 \$8,876 \$8,876  Total Resources \$8,959 \$10,559 \$11,791  EXPENDITURES AND EXPENDITURE ADJUSTMENTS  Expenditures:  0515 Secretary for Business, Consumer Services, and Housing Agency (State Operations) - 30 40  0840 State Controller (State Operations) 6  1701 Business Oversight (State Operations) 7,580 7,604  2150 Department of Financial Institutions (State Operations) 7,233  8880 Financial Information System for California (State Operations) 37 34 6  Total Expenditures and Expenditure Adjustments \$7,276 \$7,644 \$7,650		10	LL	
Total Revenues, Transfers, and Other Adjustments         \$7,229         \$8,876         \$8,876           Total Resources         \$8,959         \$10,559         \$11,791           EXPENDITURES AND EXPENDITURE ADJUSTMENTS         Expenditures:           0515 Secretary for Business, Consumer Services, and Housing Agency (State Operations)         -         30         40           0840 State Controller (State Operations)         6         -         -           1701 Business Oversight (State Operations)         7,580         7,604           2150 Department of Financial Institutions (State Operations)         7,233         -         -           8880 Financial Information System for California (State Operations)         37         34         6           Total Expenditures and Expenditure Adjustments         \$7,276         \$7,644         \$7,650	•	-	1,350	1,350
Total Resources         \$8,959         \$10,559         \$11,791           EXPENDITURES AND EXPENDITURE ADJUSTMENTS         Expenditures:           0515 Secretary for Business, Consumer Services, and Housing Agency (State Operations)         -         30         40           0840 State Controller (State Operations)         6         -         -           1701 Business Oversight (State Operations)         -         7,580         7,604           2150 Department of Financial Institutions (State Operations)         7,233         -         -           8880 Financial Information System for California (State Operations)         37         34         6           Total Expenditures and Expenditure Adjustments         \$7,276         \$7,644         \$7,650		\$7,229		
EXPENDITURES AND EXPENDITURE ADJUSTMENTS  Expenditures:  0515 Secretary for Business, Consumer Services, and Housing Agency (State Operations) - 30 40  0840 State Controller (State Operations) - 5  1701 Business Oversight (State Operations) - 7,580 7,604  2150 Department of Financial Institutions (State Operations) 7,233 - 5  8880 Financial Information System for California (State Operations) 37 34 6  Total Expenditures and Expenditure Adjustments \$7,650 \$7,644 \$7,650	<u>-</u>			
Expenditures:  0515 Secretary for Business, Consumer Services, and Housing Agency (State Operations) - 30 40  0840 State Controller (State Operations) 6  1701 Business Oversight (State Operations) - 7,580 7,604  2150 Department of Financial Institutions (State Operations) 7,233  8880 Financial Information System for California (State Operations) 37 34 6  Total Expenditures and Expenditure Adjustments \$7,276 \$7,644 \$7,650	EXPENDITURES AND EXPENDITURE ADJUSTMENTS			
0840 State Controller (State Operations)61701 Business Oversight (State Operations)-7,5807,6042150 Department of Financial Institutions (State Operations)7,2338880 Financial Information System for California (State Operations)37346Total Expenditures and Expenditure Adjustments\$7,276\$7,644\$7,650				
1701 Business Oversight (State Operations)-7,5807,6042150 Department of Financial Institutions (State Operations)7,2338880 Financial Information System for California (State Operations)37346Total Expenditures and Expenditure Adjustments\$7,276\$7,644\$7,650	0515 Secretary for Business, Consumer Services, and Housing Agency (State Operations)	-	30	40
2150 Department of Financial Institutions (State Operations)7,2338880 Financial Information System for California (State Operations)37346Total Expenditures and Expenditure Adjustments\$7,276\$7,644\$7,650	0840 State Controller (State Operations)	6	-	-
8880 Financial Information System for California (State Operations)37346Total Expenditures and Expenditure Adjustments\$7,276\$7,644\$7,650	1701 Business Oversight (State Operations)	-	7,580	7,604
Total Expenditures and Expenditure Adjustments \$7,276 \$7,644 \$7,650	2150 Department of Financial Institutions (State Operations)	7,233	-	-
	8880 Financial Information System for California (State Operations)	37	34	6
FUND BALANCE \$1,683 \$2,915 \$4,141	Total Expenditures and Expenditure Adjustments	\$7,276	\$7,644	\$7,650
	FUND BALANCE	\$1,683	\$2,915	\$4,141

<sup>\*</sup> Dollars in thousands, except in Salary Range.

## 1701 Business Oversight

 2012-13\*
 2013-14\*
 2014-15\*

 Reserve for economic uncertainties
 1,683
 2,915
 4,141

\* Dollars in thousands, except in Salary Range.